Vidya Vikas Mandal's

Shree Damodar College of Commerce & Economics, Margao- Goa

Second Year BBA (FS) – Semester III, End Semester Examination, April 2015

STOCK MARKET OPERATION

Duration: 2 Hours | Max. Marks: 60

Instructions:

- *Figures to the right indicate maximum marks*
- *Start each new question on a fresh page*

Q.1 A. Explain the Nature & Functions of stock exchange. (12)

OR

Q. 1 X. Explain the general guidelines for selection of constituents in Sensex. (6)

Y. What is an Index? Explain the factors that differentiate one index from the other. (6)

Q.2 A. Diagrammatically Explain the Membership admission procedure for new Membership at National stock exchange. (12)

OR

Q.2 X. Discuss the objectives & functions of SEBI. (6)

Y. Explain Index-based Market-wide Circuit Breakers & price band on securities. (6)

Q.3 A. Explain the clearing and settlement process in capital market of National stock exchange. (12)

OR

Q. 3 X. Explain different windows on trader workstation screen of the trading member. (12)

Q.4 X. Margins form a key part of the risk management system. Explain (6)

Y. Write a short note on: (6)

- Investor Protection Fund
- Pay in/out of securities and Funds

OR

Q.4 A. A sound risk management system is integral for an efficient clearing and settlement system. Justify. (12)

Q. 5 Write short notes on (**any two**) of the following: (2x6=12)

a) New York stock exchange
b) Singapore stock exchange
c) Shanghai stock exchange
d) Australian stock exchange