

Vidya Vikas Mandal's
Shree Damodar College of Commerce & Economics, Margao-Goa
S.Y.BCOM, Semester IV, *Supplementary* Examination *May/June* 2016
INTRODUCTION TO FINANCIAL SERVICES

Max. Marks :80

Time:2hrs.

Instructions:

- All questions are compulsory
- Start each question on a fresh page
- Figures to right indicate full marks

1 Write short notes on any four of the following: (4x4) =16

1. Explain the term financial services.
2. What is a depository?
3. Write a note on CDSL.
4. What is a beneficiary account?
5. State the important scope of Depository Participant Regulation Act of 1996.
6. Explain the term freezing of account.

2. Write short notes on any four of the following: (4x4) =16

1. Explain the term Closure of account.
2. What is Financial Planning?
3. Explain the process of nomination.
4. Outline the importance of credit rating.
5. Write a note on Intermediary account.
6. Write a note on SMERA.

3a. Highlight the importance of Depository Participants. (12)

OR

3b. Discuss the classification of Financial Services in India. (12)

4a. Explain the process of Dematerialisation of shares. (12)

OR

4b. Explain the procedure for transmission of dematerialized securities and change in Bank details and address. (12)

5a. Discuss the role of Financial Advisor. (12)

OR

5b. Explain the Parameters for recommending Investment strategy. (12)

6a. Explain any two important credit rating agencies in India. (12)

OR

6b. What is securitization of Debt? Describe the benefits of securitization. (12)

-----BEST OF LUCK-----