

Vidya Vikas Mandal's  
Shree Damodar College of Commerce & Economics, Margao-Goa  
S.Y.BCOM, Semester IV, End Semester Examination April, 2016  
**INTRODUCTION TO FINANCIAL SERVICES**

Max. Marks :80

Time:2hrs.

***Instructions:***

- All questions are compulsory
- Start each question on a fresh page
- Figures to right indicate full marks

**1 Write short notes on any four of the following:**

**(4x4) =16**

1. Explain the term financial services.
2. State the functions of depository?
3. Write a note on CDSL.
4. Mention the requirements for opening beneficiary account?
5. What is financial planning?
6. Explain the term freezing of account.

**2 .Write short notes on any four of the following:**

**(4x4)=16**

1. Explain the term Closure of account.
2. Outline the importance of credit rating.
3. Explain the process of nomination.
4. Write a note on Intermediary account.
5. Outline the role of a financial Advisor.
6. Write a note on SMERA.

**3a. Highlight the importance of Depository Participants.**

**(12)**

**OR**

**3b.. Discuss the classification of Financial Services in India.**

**(12)**

**4a. Explain the process of Dematerialisation of shares.**

**(12)**

**OR**

**4b. Explain the procedure for transmission of dematerialized securities and change in Bank details and address.**

**(12)**

**5a. Explain the process of Financial Planning.**

**OR**

**5b.Explain the Parameters for recommending Investment strategy.**

**(12)**

**6a. Define credit rating. Discuss the importance of credit rating.**

**(12)**

**OR**

**6b.What is securitization of Debt? Describe the benefits of securitization.**

**(12)**

-----BEST OF LUCK-----