

Vidya Vikas Mandal's
Shree Damodar College of Commerce & Economics, Margao-Goa
S.Y.BCOM, Semester IV, End Semester Examination April, 2016
INTRODUCTION TO FINANCIAL SERVICES

Max. Marks :80

Time:2hrs.

Instructions:

- All questions are compulsory
- Start each question on a fresh page
- Figures to right indicate full marks

1 Write short notes on any four of the following: (4x4) =16

1. Explain the term financial services.
2. State the functions of depository?
3. Write a note on CDSL.
4. Mention the requirements for opening beneficiary account?
5. What is financial planning?
6. Explain the term freezing of account.

2 .Write short notes on any four of the following: (4x4)=16

1. Explain the term Closure of account.
2. Outline the importance of credit rating.
3. Explain the process of nomination.
4. Write a note on Intermediary account.
5. Outline the role of a financial Advisor.
6. Write a note on SMERA.

3a. Highlight the importance of Depository Participants. (12)

OR

3b.. Discuss the classification of Financial Services in India. (12)

4a. Explain the process of Dematerialisation of shares. (12)

OR

4b. Explain the procedure for transmission of dematerialized securities and change in Bank details and address. (12)

5a. Explain the process of Financial Planning.

OR

5b.Explain the Parameters for recommending Investment strategy. (12)

6a. Define credit rating. Discuss the importance of credit rating. (12)

OR

6b.What is securitization of Debt? Describe the benefits of securitization. (12)

-----BEST OF LUCK-----