

Duration: 2 Hrs

Max Marks: 80

## Instructions

1. All Questions are compulsory
2. Start each new question on a new page
3. Figures to the right indicate full marks

- 1) Answer any four (4x4=16)
- (a) Types of Financial Services
  - (b) Process Of Dematerialisation
  - (c) Account Opening Beneficiary Account
  - (d) Meaning Of Credit Rating
  - (e) Rating Methodology
  - (f) Importance of credit rating
- 2) Answer any four (4x4=16)
- (a) Classification of financial services
  - (b) Process Of Rematerialisation
  - (c) Process Of Transmission Of Securities
  - (d) Securitization Of Debt
  - (e) International Securities Identification Number
  - (f) Scope Of Financial Planning
- (3)(A) Classify the different types of Financial Services. (12)
- OR
- (3)(B) Comment on Asset/Fund Based Services and Fee-Based/Advisory Services. (12)
- (4)(A) Elaborate on the need and functions of a depository. (12)
- OR
- (4)(B) Write notes on (2x6=12)
- (i) Depository Participants
  - (ii) National Securities Depository Limited
- (5) (A) Briefly Explain the Procedures involved in transmission of shares and nomination of shares. (12)
- OR
- (5) (B) Explain the Financial Planning Delivery Process. (12)
- (6) (A) Write a Note on the Credit Rating Agencies in India. (12)
- OR
- (6) (B) Write notes on the following:- (2x6=12)
- (i) Benefits Of Securitization
  - (ii) Small and Medium Enterprises Rating Agency