

**INDIAN CAPITAL MARKET**

**Time: 2 hours**

**Max Marks: 80**

**Instructions:** 1) *All questions are compulsory.*  
2) *Figures to the right indicate full marks.*

Q1. Write Short Note on: (4X4=16marks)

- a. Capital market securities.
- b. Structure of securities market.
- c. Underwriters.
- d. Book Building.
- e. Stock indices
- f. Risk of derivative instruments

Q2. Write Short Note on: (4X4=16marks)

- a. Merchant bankers
- b. Online Trading
- c. Investor Protection
- d. Types of public issue
- e. Clearing House
- f. Futures

Q3. A. Discuss the Role of SEBI in the Indian Capital Market. (12 mrks)

OR

B. Describe the different intermediaries in the Indian capital market.

Q4. A. Explain in detail the IPO issue process in the Indian stock market. (12 mrks)

OR

B. Describe the role of different parties involved in the new issue market

Q5. A. Discuss the Risk management system in the secondary market (12 mrks)

OR

B. What is Listing of shares, explain the listing process.

Q6. A. Explain the use of Derivative products in speculation, hedging and arbitrage. (12 mrks)

OR

B. Describe the different types of derivative products and Explain their features