

Duration: 2 Hrs

Max Marks: 80

Instructions

1. All questions are compulsory
2. Start each new question on a new page
3. Figures to the right indicate full marks

1) Answer any four (4x4=16)

- (a) Classification of financial services
- (b) Need for a depository system
- (c) Account opening beneficiary account
- (d) Meaning of credit rating
- (e) Rating methodology
- (f) Financial Planner

2) Answer any four (4x4=16)

- (a) Importance of financial services
- (b) Clearing member account
- (c) Process of transmission of securities
- (d) Securitization of debt
- (e) International securities identification number
- (f) Scope of financial planning

{3}(A) Explain the meaning and comment on the different types of financial services. (12)

OR

{3}(B) What is the importance of financial services. Elaborate (12)

{4}(A) What are the functions of a depository? Comment on the depository Participants. (12)

OR

{4}(B) Write notes on (2x6=12)

- (i) Central Depository Services (India) Ltd and
- (ii) National Securities Depository Limited

{5} (A) Briefly explain the procedures involved in transmission of shares and nomination of shares. (12)

OR

{5}(B) Explain the financial planning delivery process. (12)

{6}(A) Write a note on the credit rating agencies in India. (12)

OR

{6}(B) Write notes on (2x6=12)

- (i) Benefits of securitization
- (ii) Small and medium enterprises rating agency