

VVM's  
Shree Damodar College of Commerce & Economics, Margao-Goa  
S.Y.B.Com Semester IV, Semester End Examination, April 2018  
Applied Component- Financial Services

**Duration: 2 Hrs**

**Max Marks: 80**

**Instructions**

1. All questions are compulsory
2. Start each new question on a new page
3. Figures to the right indicate full marks

- 1) Answer any four (4x4=16)
  - (a) Classification of financial services
  - (b) Functions of a depository system
  - (c) Account opening beneficiary account
  - (d) Meaning of credit rating
  - (e) Rating methodology
  - (f) Financial Planner
- 2) Answer any four (4x4=16)
  - (a) Importance of financial services
  - (b) Clearing member account
  - (c) Process of transmission of securities
  - (d) Securitization of debt
  - (e) Depository Participants
  - (f) Scope of financial planning
- (3)(A) Comment on the growth and development of financial services in India. (12)

OR
- (3)(B) Explain Asset/fund based services and fee-based/advisory services. (12)
- (4)(A) Explain the terms closure of account and freezing of account (12)

OR
- (4)(B) Write notes on: - (2x6=12)
  - (i) Central Depository Services (India) Ltd and
  - (ii) National Securities Depository Limited
- (5) (A) Briefly explain the procedures involved in transmission of shares and nomination of shares. (12)

OR
- (5) (B) Explain the financial planning delivery process. (12)
- (6) (A) Write a note on securitization of debt (12)

OR
- (6) (B) Write notes on the following:- (2x6=12)
  - (i) Benefits of securitization
  - (ii) Small and medium enterprises rating agency