

STOCK MARKET OPERATIONS

Timing: 3 Hours

Max.Marks:60

Instructions: *All questions are compulsory*
 Start each question on a fresh page
 Figures to right indicate maximum marks

Q1) Write a short note on ANY FIVE

(4 X 5= 20 Marks)

1. Circuit Filters
2. Off-line Exposure Monitoring
3. Risk in Settlement
4. Derivatives market
5. Regional Stock Exchanges
6. Investor Protection Fund

Q2) A. State and explain all the international stock exchanges. (6 marks)

B. Explain in detail the role of SEBI in primary market. (6 marks)

OR

X. What is NEAT system? Explain the various market Phases of NEAT System. (6 marks)

Y. Explain the different types of pay-in and pay out cycle in stock market.

(6 marks)

Q3)A. Explain the steps in the process of trading of securities in stock

Market. (6 marks)

B. Explain the different types of Margins imposed by trading members. (6 marks)

OR

X. State and explain international stock indices. (12 marks)

Q4) X.Explain the important functions of stock market. (8 marks)

OR

Y. State and explain different types of derivatives contract.

Q5)X. What are Market Indices? State and explain BSE Indices. (8 marks)

OR

Y. What is OTCEI? Explain the listing requirements and trading documents for Over The Counter Exchange of India (OTCEI). (8 marks)