

Vidya Vikas Mandal's
Shree Damodar College of Commerce & Economics, Margao, Goa
SY BBA(FS), Semester III, Semester End Assessment, October 2018
SUBJECT: INDIAN SECURITIES MARKET

Maximum Marks: 60

Duration: 3 hours

Instructions: 1. Q.1 is compulsory.
2. Answer ANY FOUR questions from Q. 2 to Q. 7.
3. Answer each question on a fresh page.
4. Figures to the right indicate maximum marks.

(5*4=20)

Q. 1. Write a short note on ANY FIVE from the following:

- i. Over The Counter Exchange of India.
- ii. Rights Issue.
- iii. National Stock Exchange Index.
- iv. Book Building.
- v. Demutualization of Stocks.
- vi. Market Capitalization.

(06)

Q. 2. A. State and explain the functions of Secondary Market.

(04)

B. How is New Issue Market different from After Issue Market?

(06)

Q. 3. A. Securities and Exchange Board of India is the apex regulator of the securities market in India. State and explain its functions.

(04)

B. Write a short note on American Depository Receipts.

(06)

Q. 4. A. What is Primary Market? Briefly elaborate on and its participants.

(04)

B. What are the objectives of Securities and Exchange Board of India?

(06)

Q. 5. A. Establishment of Securities and Exchange Board of India has led to wide range of reforms in securities market. Comment.

(04)

B. What are the various types of prospectus?

Q. 6. Explain the concept of listing of securities including its merits and demerits.

(10)

Q. 7. The Secondary Market occupies a pivotal position in the financial system. In light to this statement explain in details the role of Secondary Market.

(10)