

## M.Com (Semester-IV) Examination April 2019

## COO4A4: MANAGEMENT OF FINANCIAL SERVICES (OA- 18)

Duration: 3 Hours

Max. Marks: 60

**Instructions:** 1) This Paper consists of *nine* Compulsory carrying *equal* marks.2) Question No. *1* consists of **5 Compulsory** questions of 2 marks each.3) Answer *any five* questions from questions *2, 3, 4, 5, 6, 7, 8* and *9*.4) *Each* question carries **10** marks. Figures to *right* indicate marks.

- Q1. Answer the following Short Questions** (5x2=10)
- Explain Systematic Withdrawal Plan and Systematic Transfer Plan.
  - What do you mean by Key Information Memorandum?
  - What is STeADY facility?
  - What is Transposition?
  - Explain Peer-to-Peer Securitization.
- Q2.** "A mutual fund is a pool of money managed by a professional Fund Manager". Comment. Also elucidate various classifications of Mutual Funds Schemes **10**
- Q3.** What is Offer Document? Also explain the content of offer documents as per SEBI. **10**
- Q4.** What is Rematerialisation? Also elaborate the process of Rematerialisation of Securities. **10**
- Q5.** Explain the process of settlement of trade with the help of diagram in case of
- Market transaction **05**
  - Off market transaction **05**
- Q6.** What is Depository? Explain various sections of Depositories act 1996. **10**
- Q7.** What is Securitization? Also explain various Classes of Assets in Securitization. **10**
- Q8.** "Securitization is the process of bringing loans together in a pool and then selling the risk in different packages by issuing securities to investors". Comment. And also Elucidate the various advantages of Securitization to Investor and Originator. **10**
- Q9.** What is SARFAESI Act? Explain the role of SARFAESI Act in Securitization. **10**