



**M.Com. (Semester – III) (Acct. and Fin.) Examination, November 2015**  
**COO 3A3 : FINANCIAL SERVICES (OA – 18)**

Duration : 3 Hours

Max. Marks : 60

- Instructions :**
- 1) This paper consists of **Nine** Questions carrying **equal** marks.
  - 2) Question No. 1 consists of **5 compulsory** questions of **2 marks each**.
  - 3) Answer **any 5** questions from question **2, 3, 4, 5, 6, 7, 8 and 9**.
  - 4) **Each** question carries **10 marks**. Figures to the **right** indicate marks.

1. Answer the following short questions in brief : (5×2=10)
  - a) State two points of distinction between Hire Purchase and Leasing.
  - b) State four characteristics of Angels in Venture Capital.
  - c) What is IPO Grading ?
  - d) What are the benefits of dematerialization ?
  - e) What are Exchange Traded Funds ?
2. Give an overview of the financial service industry in India and explain in detail any eight financial services. 10
3. What are the features and modus operand of leasing ? 10
4. A) What are steps involved in factoring ? 5  
B) What are the functions performed by a factor in a factoring arrangement ? 5
5. Explain in detail the role of the constituents in a depository system and explain the dematerialization process. 10



- 6. Explain the Securitization Process with the help of a suitable diagram. **10**
  - 7. Explain the general credit rating process followed by credit rating agencies. **10**
  - 8. Explain the stages involved in Venture Capital Financing with the help of a suitable diagram. **10**
  - 9. What are the various investment plans and investor services available to Mutual Fund Investors ? **10**
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