

M.Com. (Semester – II) Examination, April 2019
COC212 Management of Financial Services

Duration: 3 Hours

Total Marks: 60

Instructions:

- 1) This paper consists of nine (9) questions carrying equal marks.
 - 2) Question No. 1 consist of 5 compulsory questions of 2 marks each.
 - 3) Answer any five (5) questions from 2, 3, 4, 5, 6, 7, 8 and 9.
-

- Q.1. Answer the following. (5×2=10)
- a) What are the differences between Equity Assessment and Equity Rating?
 - b) What is the role of Conduits in the process of Securitization?
 - c) What is the significance of DPM in Depositories?
 - d) Explain ISIN with an example.
 - e) Explain Section 9 of Depositories Act, 1996.
- Q.2. Elaborate the various issues in management of financial services in India. 10
- Q.3. Why rating is essential for companies? Elaborate the various benefits of credit rating to rated companies. 10
- Q.4. What is the significance of Securitization? Explain in detail various assets which can be securitized with suitable examples. 10
- Q.5. What is the relevance of SARFAESI Act, 2002. Explain in detail methods of recovery under SARFAESI Act, 2002. 10
- Q.6. Why Depositories are essential in a Financial Market? Elaborate the features of depository system in India. 10
- Q.7. Elaborate the concept of Depository Participants. Also explain in detail the eligibility criteria prescribed by SEBI for Depository Participants. 10
- Q.8. Elucidate the various types of accounts which investors can open with a Depository Participant. 10
- Q.9. Explain in detail various steps involved in unilateral closure of pledge with the help of a suitable flowchart. 10