

Vidya Vikas Mandal's  
Shree Damodar College of Commerce and Economics Margao-Goa  
S.Y.BCOM Semester End Examination, Semester IV-April 2017  
**FINANCIAL SERVICES- IV**

**Duration: 2 hours**

**Max. Marks: 80**

**Instructions:**

- All questions are compulsory, however internal choice is available.
- Figures to the right indicate maximum marks to the questions and sub-questions.
- Start each question on a fresh page.

**Q.1. Answer **any four** of the following: (4x4=16)**

1. Four Types of Financial services
2. Four Importance of Financial services
3. Four Needs of Depository system
4. Two reasons for growth of financial sector in India.
5. Four Benefits of Depository system
6. Concept of Beneficiary Account.

**Q.2. Answer **any four** of the following: (4x4=16)**

1. Any two procedures of transmission of securities.
2. Four Objectives of Financial Planning.
3. Four Importance's of Financial Needs Analysis in Financial Planning.
4. Four steps of Determining Financial Clients.
5. Meaning of Credit Rating.
6. Meaning of Securitization.

**Q.3.A. Explain the role of Fund based services/asset as a part of financial services.**

**OR**

**(12)**

**Q.3.B. Explain the Non-Fund Based/Fee Based Financial Services.**

**Q.4.A. Explain role of International Securities Identification Number (ISIN) in Depository system.**

**OR**

**(12)**

**Q.4.B. Write an Explanatory note on National Securities Depository Limited (NSDL).**

**Q.5.A. Explain in detail the Documentation Required to Change Details in Central Depository system (CDS) Account.**

**OR**

**(12)**

**Q.5.B. Write an explanatory note on Investment Risk profile.**

**Q.6.A. Explain any six benefits of Securitization of Debt.**

**OR**

**(12)**

**Q.6.B. Explain any six importances's of credit rating agencies in India**