

406: STOCK MARKET OPERATIONS

S.Y.BBA (FS), Semester IV, End Semester Examination, April 2017

Duration: 2 Hours

Max. Marks: 60

Instructions:

- Figures to the right indicate maximum marks.
- Start each new question on a fresh page.
- All Questions are compulsory

Q1. Write a short note on any **FOUR** from the following: -

(05\*4=20)

- a. Primary market
- b. Price Bands
- c. Capital Adequacy Requirements
- d. Dow Jones
- e. National Stock Exchange

Q2.

- a. Over a period, the Indian securities market has undergone remarkable changes and grown exponentially, particularly in terms of resource mobilization, intermediaries, the number of listed stocks, market capitalization, and turnover and investor population since 1992. Comment. (10)

OR

- b. What do you understand by the term "Derivatives"? Discuss the types of derivatives products. (10)

Q3.

- a. Explain the trading system user hierarchy with the help of a flow chart. (10)

OR

- b. State and explain the various order Books in the NEAT system. (10)

Q4.

- a. What do you understand by Margins? Discuss the types of margins. (10)

OR

- b. Explain the Transaction Cycle with the help of a diagram. (10)

Q5.

- a. Explain the Clearing and Settlement Process with the help of a diagram. (10)

OR

- b. Discuss the Role of SEBI in Regulating Indian Capital Market. (10)

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