

BFS C403-STOCK MARKET OPERATIONS

Max.Marks:60

Timing: 3 Hours

Instructions: *Questions ONE is compulsory
Answer ANY FOUR from Q2 to Q6.
Start each question on a fresh page
Figures to right indicate maximum marks*

Q1) Write a short note on ANY FIVE of the following

(5* 4= 20 Marks)

1. Circuit Filters
2. Off-line Exposure Monitoring
3. Risk in Settlement
4. Credit Derivatives
5. BSE Online Trading (BOLT)
6. SEBI (Intermediaries) Regulation 2008

Q2) A. State and Explain the International Stock Exchanges.

(10mks)

Q3)SEBI plays an important role in Secondary Market. Comment

(10 mks)

**Q4) X. What is National Exchange for Automated Trading (NEAT) system? Explain the
Various market Phases of NEAT system.**

(06 mks)

Y. Write a short note on Settlement Guarantee Fund (SGF).

(04 mks)

Q5)A. Explain the steps in the Process of Trading of Securities in Stock Market. (08mks)

B. Write a short note on Investor Protection Fund.(IPF)

(02 Mks)

Q6)State and Explain different types of Derivatives Contract.

(10mks)
