

STOCK MARKET OPERATIONS

Duration: 3 Hours

Max. Marks: 60

- Instructions:
1. All questions are compulsory.
 2. Figures to the right indicate maximum marks.
 3. Start each question on a fresh page.

Q1. Write short note on ANY 4 of the following:

(3x4= 12 marks)

- a) Market Indices
- b) Circuit filter
- c) Investor Protection Fund
- d) Pay-in and Pay-out of Funds
- e) Regional Stock Exchanges

Q2. A. What is the role of SEBI in Secondary Market?

(12 marks)

OR

X. Elaborate on Over The Counter Exchange of India (OTCEI).

(6 marks)

Y. Explain any three major International stock exchanges.

(6 marks)

Q3. A. Explain the Settlement Process of NSE with the help of diagram.

(12 marks)

OR

X. Elaborate on any three Trading Rules in stock exchanges.

(6 marks)

Y. 'A trading day typically consists of a number of discrete stages'. Elaborate on Market Phases.

(6 marks)

Q4. A. What are Margins? State and explain three types of Margins and penalties for Margin shortfall.

(12 marks)

OR

X. Elaborate on the Capital Adequacy Requirements.

(6 marks)

Y. Explain in detail the various Risks in Settlement.

(6 marks)

Q5. A. Write six points of difference between forward contracts and futures contracts?

(6 marks)

B. Discuss the benefits of derivatives contracts.

(6 marks)

OR

X. What are derivatives? Elaborate on commodity derivatives.

(6 marks)

Y. Explain any three types of derivative contracts.

(6 marks)

******The End******