

V.V.M's,  
Shree Damodar College of Commerce & Economics,  
Margao Goa

S.Y.BBA (FS) SEMESTER III, ESE, *April* 2017

DURATION: 2 hours

SUB: INDIAN SECURITIES MARKET

MARKS: 60

**Instructions:** *All Questions are compulsory*

*Start each Question on a fresh page*

*Figures to right indicate maximum marks*

- Q.1.A. Write a Short note on the following (ANY FOUR) (4X3 = 12)
- a) Dematerialisation
  - b) Screen Based Trading System
  - c) Sweat Equity
  - d) Bombay Stock Exchange
  - e) Merchant Banker
  - f) Registrar to an issue and share transfer agent
- Q.2.X. Explain the Major types of deposit schemes of the commercial/cooperative banks. (09)
- Y. Highlight the Powers of Securities Exchange Board of India. (03)
- OR
- Q.2.A. Explain various types of securities conferring security form of an investment. (12)
- Q.3.X. What is stock exchange? Explain the functions of stock exchange. (12)
- OR
- Q3. A. What is primary Market? Explain the different types of prospectus issued in the primary market. (12)
- Q.4.X. Explain the steps involved in preparation and filing of an offer document. (12)
- OR
- Q.4.A. A recognized stock exchange may, by order, delist any equity shares of a company. Discuss the Process of Delisting. (12)
- Q.5. X. Discuss the various Players involved In the New Issue Market. (12)
- OR
- Q.5.A. Indian Securities market undergone remarkable change over a period of time. Discuss Reforms measures undertaken since 1992. (12)