

VVM's Shree Damodar College of Commerce & Economics, Margao, Goa
SYBBA(FS), Semester-III (REPEAT), Semester End Assessment APRIL 2023
BFS CC 11: Indian Securities Market

Duration:02 Hours**Marks:60****Instructions:**

- 1) *Start each question on fresh page.*
- 2) *Figures to the right indicate maximum marks.*
- 3) *Q1 is compulsory*
- 4) *Answer any FOUR from Q 2 to Q.6*

Q1. Answer ANY FIVE of the following**(5x4=20 Marks)**

- a. Investment in money market instruments has its own merits. Discuss.
- b. Discuss the features of Call money as a money market instrument.
- c. Differentiate between Primary and Secondary markets
- d. A prospectus is a document that provides details of the issue to potential investors. Enumerate its types.
- e. Listing of securities is a doubled edged sword. Highlight any four merits and demerits of listing the securities.
- f. SEBI Complaints Redressal System (SCORES) is an online platform for investors to lodge their complaints related to securities market. Highlight the matters that are not considered as complaints under the SCORES.

Q2. Money market is a market for short terms financial assets that are close substitute for money and facilitates the exchange of money in primary and secondary market. In light of the above statement discuss any three types of money market instruments and list out their respective pros and cons.

(10 Marks)

Q3. The secondary market consists of various players who aid in the execution of any orders. Enumerate.

(10 Marks)

Q4. Discuss the steps involved in 'Public Issue' as a method of floating new share in the primary market.

(10 Marks)

Q5. The Securities and Exchange Board of India is the regulator body for the securities market in India. Elucidate the various functions performed by SEBI in the primary and secondary markets.

(10 Marks)

Q6. a) The Securities and Exchange Board of India being the governing body for the securities market in India, has laid down certain guidelines for the issue of debentures. Discuss.

(05 Marks)

b) Define rights issue and its merits and demerits to investors.

(05 Marks)
