

Seat No: _____

Vidya Vikas Mandal's
Shree Damodar College of Commerce & Economics, Margao-Goa
Post-Graduate Department of Commerce (M. Com)
M.Com Part-I, Semester-II, Semester End Examination Repeat November 2023
COTC-410: Management of Mutual Funds (OA - 35)

Duration: 2 Hours

Max Marks: 40

Instructions:

1. This paper consists of **SIX** questions carrying Equal marks.
2. **Question No.1** consists of 5 **Compulsory** questions of 2 marks each.
3. Answer **any three** questions from questions 2,3,4,5 & 6.
4. Each question carries 10 marks. Figures to the right indicate marks.

Q.1. Answer the following questions

(5X2=10)

- a) Functions of Registrars and Transfer Agents
- b) Investment Strategy
- c) Statement of Additional Information
- d) Professional Management
- e) SIP Top-up Facility

Q.2. Write a critical note on different Mutual Fund schemes in India. (any 8 schemes) In your view which scheme is advantageous one to the Investor and why. 10

Q.3. a) What is an Offer Document? Explain the objectives of Scheme related documents. 5

b) Discuss the procedure for launching a scheme of Mutual funds in India. 5

Q.4. a) Differentiate between actively managed funds and passively managed funds. 5

b) What is Scheme information document. Discuss the contents of the Scheme information document. 5

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Q.5. 'Having a financial expert to manage your money is always a good idea; especially if you're not aware of how the stock markets work. This is when a mutual fund house or an Asset Management Company (AMC) comes into the picture.' Discuss the legal regulations prescribed by SEBI to appoint the Asset Management Company. **10**

Q.6. 'Present and prospective investors in the financial market have a choice of various investment plans, products and services offered by the mutual funds for investment.' Discuss different mutual fund plans. **10**

(Any four Plans in detail)

*****Best Wishes*****