

**Vidya Vikas Mandal's**  
**Shree Damodar College of Commerce & Economics, Margao-Goa**  
**Post-Graduate Dept. of Commerce**  
**M.Com. Semester –III, Special Examination, March 2022**  
**COO334: Capital Markets and Stock Exchange Operations (0A-18A)**

**Duration: 3hrs**

**Max Marks: 60**

**Instructions:**

1. This paper consists of **Nine** Questions carrying **Equal** marks.
2. Question No.1 consists of **5 Compulsory** Questions of **2 Marks Each**.
3. Answer any **5** questions from Question **2,3,4,5,6,7,8 and 9**.
4. **Each** question carries **10** marks. Figures to the **right** indicate marks.

**Q.1 Answer the following in 50-80 words each**

**(5x2=10)**

- i. What is short sale
- ii. Block deal
- iii. Write a short note on auction
- iv. Explain swing trading
- v. What is a circuit breaker

**Q2. How does the OTC market differ from the organized exchanges?**

**10**

**Q3. Investor protection is 'protecting the interest of the investors in securities and promoting the development of and to regulate the securities market. Justify this statement with relevant examples.**

**10**

**Q4. Discuss the procedure for settlement of securities under rolling settlement.**

**10**

**Q5. What is the difference between a price-weighted index, market capitalisation weighted index and free float market capitalisation.**

**10**

**Q6. Explain in detail different types of indices and discuss the criteria for the selection of shares in Nifty 50.**

**10**

**Q7. BSE's on-line surveillance system monitors on a real time basis the price movements, volume positions and members' positions and real-time measurement of default risk, market reconstruction and generation of cross market alerts. Discuss with illustrations.**

**10**

**Q8.**Margin trading was introduced by SEBI to curb speculative dealings in shares leading to volatility in the prices of securities. In the light of the above statement, explain the importance and types of margins. **10**

**Q9.** For a security of a firm to be listed under stock exchange adherence to certain guidelines is necessary. Explain in detail the listing requirements. **10**

\*\*\*\*\* **ALL THE BEST** \*\*\*\*\*