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Shree Damodar College of Commerce & Economics, Margao-Goa  
Post-Graduate Dept. of Commerce  
M.Com (A&F), Semester-III, Semester End Examination, February 2022  
COO334: Capital Markets and Stock Exchange Operations(0A-18A)

**Duration: 3hrs**

**Max Marks: 60**

**Instructions:**

1. This paper consists of **Nine** Questions carrying **Equal** marks.
2. Question No.1 consists of **5 Compulsory** Questions of **2 Marks Each**.
3. Answer any **5** questions from Question **2,3,4,5,6,7,8 and 9**.
4. **Each** question carries **10** marks. Figures to the **right** indicate marks.

**Q.1 Answer the following in 50-80 words each**

**(5x2=10)**

- i. Price weighted index
- ii. Red herring prospectus
- iii. Custodian
- iv. Broad market index
- v. VaR margin

**Q2. 'Book building is the process of price discovery'. Discuss.** **10**

**Q 3. a. Explain in detail objectives of investor education and protection fund.** **06**

**b. What are the key challenges that lie ahead of SEBI.** **04**

**Q4. What is floor trading? Elucidate screen-based trading system of NSE and BSE.** **10**

**Q.5 What is a stock market index. How it is calculated.** **10**

**Q6. What are the different functions of the lead managers, registrars and underwriters.** **10**

**Q7. Write short notes on:** **10**

- a. Order management
- b. Pay in and pay out
- c. Block and bulk deals

**Q8.** Trading in a stock exchange is under the overall supervision of the regulatory authorities of the nation.Discuss. **10**

**Q9. a.** Explain the purposes of stock index. **05**

**b.** What are the different types of indices. **05**

\*\*\*\*\* **ALL THE BEST** \*\*\*\*\*